· Maria A A I CORRITT A		Application No.	10/698,668
MANSIVIII IAL F	RANSMITTAL FORM		October 31, 2003
(to be used for all correspondence after initial filing)		First Named Inventor	Robert Ledingham
		Art Unit	2131
		Examiner Name	
Total Number of Pages in This Submission 7		Attorney Docket Number	6486P003
ENCLO	SURES (chec	ck all that apply)	
Fee Transmittal Form	Drawing(s)	)	After Allowance Communication to Group
Fee Attached	Licensing-	related Papers	Appeal Communication to Board of Appeals and Interferences
Amendment / Response	Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Final Affidavits/declaration(s)	Petition to Convert a Provisional Application		Proprietary Information
Extension of Time Request	Express Abandonment Request  Information Disclosure Statement  PTO/SB/08  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)		
Express Abandonment Request			Other Enclosure(s) (please identify below):
			Request for Reconsideation of Petition 1.47(a) (2 pp.);
PTO/SB/08  Certified Copy of Priority Document(s)			Statement of Facts in Support of PetitionKeith
☐ Document(s)  ☐ Response to Missing Parts/			Penny (3 pp.)
Incomplete Application  Basic Filing Fee	Remarks		
Declaration/POA			
Response to Missing Parts under 37 CFR 1.52 or 1.53			
SIGNATUR	E OF APPLICA	NT, ATTORNEY, OR AG	SENT
irm Jeffery Scott H	eileson, Reg. N	Jo. 46,765	
	SOKOLOFF,	ТА <b>ұ</b> ђОR & ZAFM	AN LLP
Signature		之	
Date / ( )	11/9/04		
		LING/TRANSMISSION	
ereby certify that this correspondence is b fficient postage as first class mail in an en	eing deposited with velope addressed	h the United States Postal S to: Mail Stop Petition, Com	Service on the date shown below with missioner for Patents, P.O. Box 1450,

11-9-04

Date

Signature

PARY Docket No.: 6486.P003

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit: 2131

pplication of:

Ledingham et al.

Serial No.: 10/698,668 ) Examiner: Unassigned

For: DATABASE ARCHITECTURE FOR AN ) Confirmation No.: 1021

AIR TRAFFIC INFORMATION SYSTEM

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

October 31, 2003

### REQUEST FOR RECONSIDERATION OF PETITION UNDER 37 CFR 1.47(A)

Dear Sir:

Filed:

Applicant filed a petition under 37 CFR 1.47(a) on September 7, 2004, which was DISMISSED by the Office of Petitions in a notice mailed October 6, 2004. Applicant respectfully submits the present request for reconsideration.

Specifically, the Office of Petitions stated that the petition lacked proof that inventors Zak and Zanin were ever provided copies of the Application Papers. In response to the Dismissal, Applicant requests reconsideration, and submits herewith a Statement of Facts by co-inventor Keith Penny. As outlined in the Statement of Facts, Mr. Penny has first-hand knowledge that Mr. Zak and Mr. Zanin were faxed copies of the Application Papers (Specification, including claims, drawings, and declaration), and that the papers were faxed to a believed-to-be correct fax number. Furthermore, Mr. Penny's Statement of Facts establishes that both Mr. Zak and Mr. Zanin orally confirmed to Mr. Penny the receipt of the Application Papers. (Statement of Facts-Penny, Paragraph 3).

On October 31, 2003, Applicant filed a patent application, which required an executed declaration by all the inventors. As supported in the submitted Statements of Facts by co-inventor Keith Penny and Michael Boehm (previously submitted), co-inventors Mr. Zak and Mr. Zanin refused to sign the declaration after having been presented with the Application papers (Specification, claims and drawings).

Reconsideration of the petition filed on September 7, 2004 is requested.

Atty Docket No.: 6486.P003

If there is a deficiency in fees, please charge our Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP

Reg. No. 46,765

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025-1030 (408) 720-8300

Atty Docket No.: 6486.P003

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ledingham et al.

Serial No.: 10/698,668

Filed: October 31, 2003

For: DATABASE ARCHITECTURE FOR AN

AIR TRAFFIC INFORMATION SYSTEM

Examiner: Unassigned

Art Unit: 2131

Confirmation No.: 1021

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# FOR FILING UNDER 37 C.F.R. §1.47(a) – KEITH PENNY

#### Dear Sir:

I, Keith Penny, having personal knowledge of the facts set forth herein, hereby declare and say to the best of my knowledge and belief, after diligent inquiry, and without personal liability, except as disclosed herein, as follows:

- I am a co-inventor of the present application and an employee of NAV CANADA,
   assignee of the present application.
- On March 23, 2004, I was contacted via email by Chris Tanguay, an assistant with NAV CANADA's legal department, requesting me to meet with Mr. Tanguay in order to review the Application Papers (Specification, Claims and Drawings) of the present application and to sign: (i) the Declaration and (ii) the Assignment (the "Documentation") for the present application.
- 3. On or about April 1, 2004, Mr. Tanguay met in person with me to secure my signature and to obtain my assistance in forwarding the Application Papers and the Documentation to co-inventors Roman Zak and Jeff Zanin. Mr. Tanguay provided me with copies of the Application Papers (Specification, Claims and Drawings) and Documentation. I assured Mr. Tanguay that I would forward the Application Papers and Documentation to co-inventors Mr. Zak and Mr. Zanin for their review

Atty Docket No.: 6486.P003

and signature as required. Shortly after that time, I forwarded the Application Papers (Specification, Claims and Drawings) and Documentation by fax to each of Mr. Zak and Mr. Zanin. I faxed the Application Papers and Documentation to what I believe to be the correct fax number where each of Mr. Zak and Mr. Zanin customarily receive fax transmissions, and I received confirmation from the fax machine that each transmission was completed successfully. Subsequently, I spoke with each of Mr. Zak and Mr. Zanin, during which each of them confirmed receipt of the Application Papers (Specification, Claims and Drawings) and Documentation.

- 4. On or about April 19, 2004, Mr. Tanguay met with me, at which time I instructed him that I spoke with Mr. Zak and Mr. Zanin regarding the Application Papers and Documentation, and that I was awaiting receipt of the signed Documentation.
- 5. On or about May 3, 2004, Mr. Tanguay followed up with me with regards to whether the signed copies of the Documentation had been received. I had no further progress to provide Mr. Tanguay at that time.
- 6. On June 29, 2004 at 13:44PM, I transmitted an email from myself to Kelly Ann Hicks, Legal Counsel with NAV CANADA, stating that I and co-inventor Robert Ledingham, have both signed the Documentation as required. I also stated in the email that it would be more effective if Legal contacted both Mr. Zak and Mr. Zanin directly to secure the return of the signed documents since I had no incentives of either a positive or negative nature to offer. A reproduction of this email is attached herewith as Exhibit A.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on the information and belief are believed to be true; and further that all these statements were made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Dated: Nov 8, 2004
Keith Penny

Atty Decket No.: 6486.P003

### **EXHIBIT A**

----Original Message----

From:

Penny, Keith

Sent:

Tuesday, June 29, 2004 1:44 PM

To:

Hicks, Kelly Ann

Subject:

RE: Inventors signatures US applications

Hi Kelly Ann,

I have signed everything that was required and I believe Mr. Ledingham has as well.

It would be more effective if you could contact both Mr. Zak and Mr. Zanin directly to secure the return of the signed documents since I have no incentives of either a positive or negative nature to offer.

Keith